

3738



PATENTS  
Attorney Docket No. 593/005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## PATENT APPLICATION

T.F.  
 5-9-03

Applicants : Thomas E. Borillo and Gregg S. Sutton  
 Application No. : 09/960,749 Confirmation No. : 5029  
 Filed : September 21, 2001  
 For : APPARATUS FOR IMPLANTING DEVICES IN ATRIAL APPENDAGES  
 Group Art Unit : 3738

New York, New York 10020  
 April 15, 2003

Hon. Commissioner for Patents  
 Washington, D.C. 20231

**RECEIVED**  
 APR 24 2003

SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

TECHNOLOGY CENTER R3700

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
 applicants wish to call the attention of the Examiner to the  
 following documents:

DOCUMENT NUMBER	DATE	COUNTRY
WO 98/23322	06/04/1998	PCT
WO 97/21402	06/19/1997	PCT

These documents are also listed on the accompanying Form PTO-1449 (submitted in duplicate), and a copy of each document is enclosed herewith. The documents were first cited in an International Search Report in a foreign patent application, which is a counterpart of another co-assigned and co-owned U.S. Patent application (S/N 10/198,261). A copy of the International Search report is enclosed.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

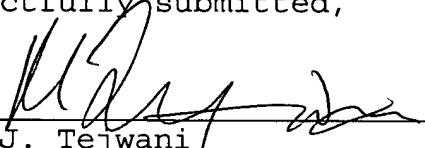
Applicants believe that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action on the merits, therefore, pursuant to 37 C.F.R. § 1.97(b)(3), applicants believe that no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge all fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Information Disclosure Statement is enclosed.

Precautionary Certification  
Pursuant to 37 C.F.R. § 1.97(e) (2)

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

An early and favorable action is respectfully requested.

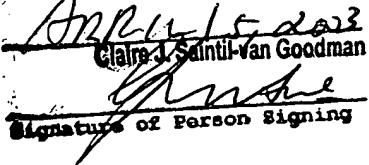
Respectfully submitted,

  
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